



H74  
9-5-02  
p.2

Express Mail No.: EL 500 575 754 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Bermudes *et al.*

Application No.: 09/645,415

Group Art Unit: 1632

Filed: August 24, 2000

Examiner: Shukla, Ram R.

For: COMPOSITIONS AND METHODS FOR  
TUMOR-TARGETED DELIVERY OF  
EFFECTOR MOLECULES

Attorney Docket No.: 8002-059

RECEIVED

SEP 03 2002

TECH CENTER 1600/2900

**FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.56 AND 1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the continuing duty of disclosure imposed by 37 C.F.R. §§ 1.56 and 1.97 to inform the United State Patent and Trademark Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicants hereby invite the Examiner's attention to the references FN-GC listed on the attached revised form PTO 1449 entitled "List of References Cited by Applicant." A copy of each of the references FN-GC is submitted herewith.

Identification of the listed references is not meant to be construed as an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application. Consequently, Applicants respectfully decline to use form PTO-1449, since this form identifies all of the references cited therein as "Prior Art." As an alternative, Applicants submit herewith several pages of a "revised form PTO 1449" entitled "List of References Cited" instead of "List of Prior Art Cited".

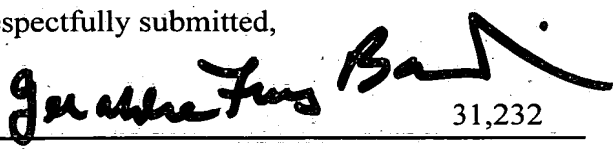
Applicants respectfully request that the Examiner review the foregoing references and that the references be made of record in the file history of the application.

Pursuant to 37 C.F.R. § 1.97(b)(3), Applicants believe that no fee is required for filing this Information Disclosure Statement. However, should the United States Patent

and Trademark Office determine otherwise, please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A duplicate of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Date August 28, 2002

  
Geraldine F. Baldwin

31,232

(Reg. No.)

**PENNIE & EDMONDS** LLP  
1155 Avenue of the Americas  
New York, New York 10036-2711  
(212)-790-9090

Enclosures